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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Haoren Zhuang
Serial No.: 10/734,749
Filed : December 11, 2003
Title : A METHOD FOR PRODUCING A HARD MASK IN A CAPACITOR
DEVICE AND A HARD MASK FOR USE IN A CAPACITOR DEVICE

Art Unit: 1756
Examiner: D. Davis

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information Disclosure Statement and documents listed on form PTO-1449.

Each enclosed document listed on the herewith Form PTO-1449 was cited in the attached Chinese Office Action dated August 25, 2006, issued in a foreign counterpart application not more than three months ago. To the best knowledge of the undersigned, this is the first citation of these items in any communication from a foreign patent office in a counterpart foreign application. No fee is believed to be due.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

October 23, 2006

Date of Deposit

Signature

Jennifer H. Payne

Typed or Printed Name of Person Signing Certificate

Applicant : Haoren Zhuang
Serial No. : 10/734,749
Filed : December 11, 2003
Page : 2 of 2

Attorney's Docket No.: 14580-045001 / FP2078

Chinese Patent Publication No. CN 1457504A is in the Chinese language. The English counterpart of this patent is filed herewith, International Publication No. WO 01/95690, published December 13, 2001.

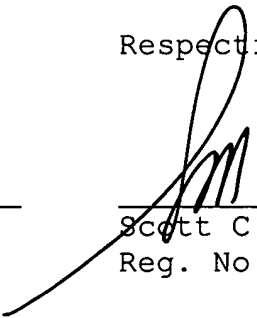
The documents are in the English language; hence no concise explanation is necessary per Rule 98(a)(3).

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

Please apply any additional charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 23, 2006



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Substitute Form PTO-1449

U.S. Department of Commerce
Patent and Trademark Office

Attorney's Docket No.

14580-045001

Application No.

10/734,749

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

Applicant

Haoren Zhuang

Filing Date

December 11, 2003

Group Art Unit

1756

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	CN 1457504A	11/2003	China				X
	AM	WO 01/95690	12/2001	WIPO				
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.